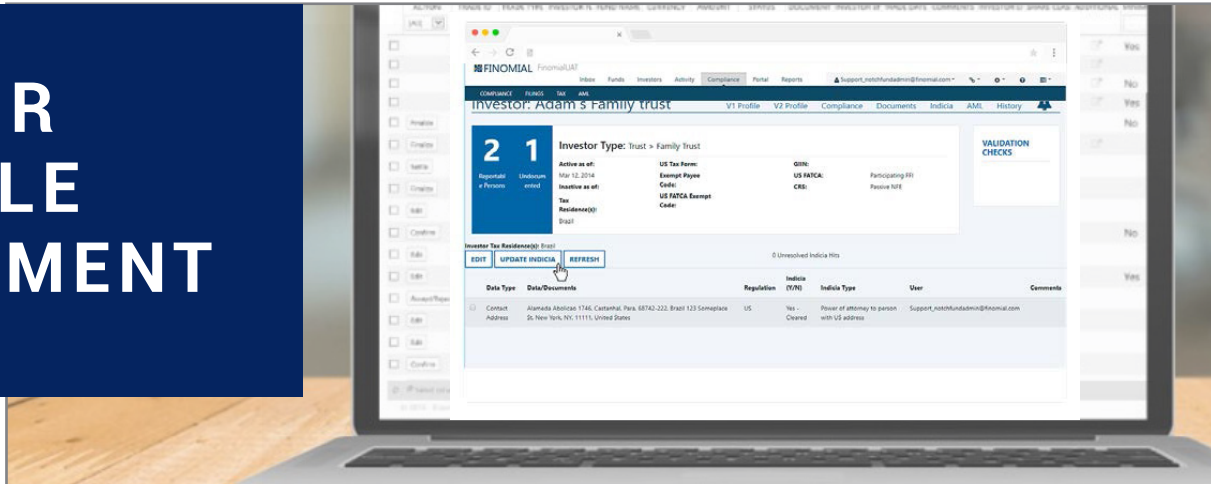


# INVESTOR LIFECYCLE MANAGEMENT



Integration with



## DIGITALLY TRANSFORM INVESTOR ONBOARDING

### HIGHLIGHTS

Smart logic guides the investor through the completion of the subscription document and KYC documents

Configure flexible workflows for review and approval of the documents by the required parties

Compliance driven with complex AML, KYC, FATCA, and CRS rules engine built right into the software

### BENEFITS

- MAINSTREAM clients can optimize the investor onboarding by digitally transforming the subscription process on a compliance-driven, investor-first, investor life-cycle management online platform.
- Reduce the time it takes to onboard an investor by guiding them through the subscription documents with smart logic.
- Integration with LexisNexis and a configurable rules engine streamlines the initial and ongoing diligence reviews of investors against core enforcement, sanctions, and watch lists in addition to PEP and adverse media searches.
- Provide transparency into the subscription work flow and enhance investor communication via a secure cloud based platform.
- Eliminate the need to print, scan, and send sensitive information over email.
- Automate the collection of tax forms and self certification forms to ensure regulatory compliance
- Document management of all KYC data for efficient processing of repeat investors