

# Mainstream Fund Services

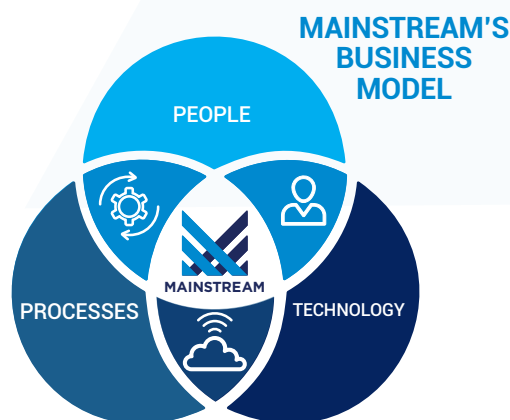


*Local expertise, global reach*

## FOR INVESTMENT MANAGERS

Establishing a fund or considering a change in administrator? Mainstream Fund Services is your ideal partner. We are specialists in fund administration, backed by expert people, quality processes and leading technology.

Our highly experienced professionals can help you with every aspect of your fund's operation, from fund set-up to ongoing administration and safe keeping of your assets.



PROCESSES	PEOPLE	TECHNOLOGY
Well-designed procedures mitigate risks and deliver compliant data to you and your investors. Our processes are backed by our AFS license and compliance with GS007, specifying controls for third party investment management service providers.	Led by industry experts with a successful track record of building fund services businesses, Mainstream's team has the knowledge, skills and experience to give your investors' confidence that your fund is a safe place to invest.	Mainstream invests in the best available solutions from global leaders in fund administration technology such as SS&C, IRESS and GBST. Benefit from our robust and scalable platforms that support your business growth.

## MAINSTREAM'S SERVICES FOR AUSTRALIAN INVESTMENT MANAGERS

Whether you are a global wealth manager looking for an enterprise solution, a growing investment manager wanting to change your administrator or a boutique manager launching your first fund, we can help. Our range of services includes:



### Fund Administration

- Net Asset Value (NAV) calculations
- Independent pricing and fund valuation
- Corporate actions
- Cash and asset reconciliation
- Fund accounting
- Financial statements and audit support
- Portfolio Construction Files (PCF)
- Introduction to custody and banking solutions
- Trade management services
- Shadow NAVs



### Unit registry

- Investor centre for listed and unlisted funds
- Automated workflow for fax, email and paper transactions
- Calastone capability
- Automated banking solutions
- Anti-money laundering (AML) documentation and reporting including CRS and FATCA services
- Web portal
- Online applications



### Custody

- Asset safe-keeping
- Access to 25+ International Markets
- Enhanced cash solution
- Support for multiple asset classes



### Performance analytics

- Performance and attribution reporting
- Post trade compliance



### Managed account services

- Market data and pricing
- Trade matching
- Corporate actions
- Accounting
- Client reporting
- Investor statements

## FUND TYPES

**Investments we can administer include:**

- Managed funds
- Investment mandates
- Hedge funds and fund of funds
- Managed accounts
- Exchange-traded products including active ETFs, LICs & LITs
- Closed end funds such as private equity, venture capital and real estate
- Cayman and other offshore funds

# About Mainstream



MAINSTREAM FUND SERVICES IS PART OF MAINSTREAM GROUP HOLDINGS LIMITED (ASX: MAI). THE GROUP PROVIDES FUND SERVICES SUPPORTED BY INVESTMENT IN PEOPLE, PROCESSES AND TECHNOLOGY.

## WHY MAINSTREAM



**Professional**  
Led by industry experts, we focus solely on fund administration and corporate services.



**Personal**  
We have a partnership approach that puts you and your investors first.



**Agile**  
We deliver to your specification and adapt to regulatory and technology change.



**Scalable**  
Benefit from our investment in world leading administration software and robust and reliable processes.



**Cost effective**  
Consolidate your administration needs under our competitive pricing model.



**Global Reach**  
With local operations in 8 countries we can support your fund vehicle and jurisdiction of choice.



**Transparent**  
ASX listed high degree of disclosure and corporate governance.



**\$187.5 BILLION**  
assets under administration (AUA)

**1,011**  
# funds administered

**105,000+**  
investors serviced

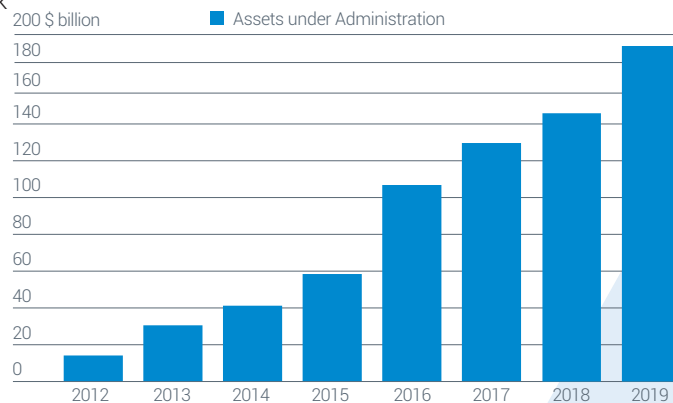
**8 COUNTRIES**  
for global support

## FUND TYPES

We provide a full-service back office across asset classes:

- Alternative funds
- Fund of funds
- Family office wealth
- Mutual funds
- Managed accounts
- Private equity funds
- Venture capital
- Real estate vehicles
- Superannuation funds
- Exchange traded products

## MAINSTREAM'S GROWTH STORY



Founded in Australia by industry leaders in 2006, Mainstream has grown to become a global fund administration specialist with 348 clients and 278 staff around the world.

With this growth we have gained administration expertise across a wide range of funds and jurisdictions.

**NICK HAPPELL**  
CEO, Asia-Pacific  
+61 2 8259 8864  
nhappell@mainstreamgroup.com

**ANDREW HARRISON**  
CEO, Australia  
+61 2 8259 8803  
aharrison@mainstreamgroup.com

**NICK BRADFORD**  
Head of Client Services, Australia  
+61 2 8259 8819  
nbradford@mainstreamgroup.com



[www.mainstreamgroup.com](http://www.mainstreamgroup.com)

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