

Modern Slavery Statement

Version 1
December 2019

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LIMITED

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1. ABOUT MAINSTREAM

Mainstream Group Holdings Limited is a specialist fund administrator for the financial services industry. Our clients are typically fund managers, superannuation trustees, listed companies, family offices and dealer groups.

We are independent and focus solely on third party administration. You can choose to outsource all – or a part – of your fund’s administration to us.

Our speciality is end-to-end administration where you choose the start and end points. We pride ourselves on offering flexible, tailored solutions.

Mainstream has outsourcing experience across a range of services and sectors, with specialist teams in investment administration (fund services), middle office, fund accounting, superannuation administration, share registry and unit registry (transfer agency) services, so you can be confident you’re getting expert support.

We have offices located around the globe: in the Asia-Pacific region, the United States and Cayman Islands, and Europe — so it is easy to meet with us or get in touch.

Our administration services are located ‘in country’, rather than being ‘offshored’ to a low-cost location. This means leading technology, skilled resources and high levels of service are all delivered in your local market.

2. OUR STANCE AGAINST MODERN SLAVERY

Mainstream is committed to conducting business responsibly and ethically and to ensuring that all aspects of our business practices, including our supply chains, are free from any form of slavery. Mainstream strongly rejects any and all forms of modern slavery, which can take the form of unpaid or underpaid servitude, human trafficking and forced labour. Mainstream is committed to implementing effective systems and controls to ensure that it does not take place within any of Mainstream's businesses or through any of our supply chains.

3. OUR RISK ASSESSMENT AND DUE DILIGENCE

Mainstream will assess the risk of modern slavery taking place within our business by identifying and managing any areas of concern within our business operations and any external suppliers. Mainstream recognises we could be indirectly exposed to the risk of modern slavery and human trafficking through our supply chains.

Due to the nature of our business, we do not make use of high-risk supply chains, which are susceptible to modern slavery risks. We have taken the following actions to manage that risk:

- › Conducting due diligence on key third party service providers; and
- › Partnering with suppliers who share our stance on modern slavery

We are committed to undertaking our due diligence processes when contracting with key external suppliers and to identifying and assessing any indications of suppliers being of high

risk. We will not work with high-risk suppliers unless we have conducted the appropriate assessment that our standards will not be compromised.

4. OUR COMMITMENT TO TRAINING

Mainstream is committed to training our employees and ensuring they have a healthy understanding and respect for how their choices can have far-reaching impacts upon basic human rights across the globe. We champion the use of training and resources available through the Australian Anti-Slavery organisation and other global resources with our employees.

5. AUTHORITY

Mainstream will continue to identify and evaluate risks relating to human rights and develop policies, procedures, and training to help combat modern slavery.

The Mainstream Board of Directors endorses this Statement



Martin Smith
Group Chief Executive Officer